Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-09-10
2. Agency:	015
3. Bureau:	45
4. Name of this Capital Asset:	Travel Reimbursement and Accounting System (TRAS)
5. Unique Project Identifier:	015-45-01-01-01-0221-24

6. What kind of investment will this be in FY2009?
Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.
The TRAS system is a major investment used to file travel authorizations and vouchers for all IRS employees. It is an operational system with small development and enhancement efforts each fiscal year to address statutory regulation changes. In accordance with the President's eGov initiatives, the TRAS system is being replaced in May 2008 by Gov Trip, a GSA approved Electronic Travel System (ETS). The TRAS investment will retire once the migration to GovTrip is completed. Spending during FY2008 will still be necessary for maintenance updates, such as Per Diem changes and other policy updates until migration is complete and TRAS is retired. All acquisition costs in FY07 and FY08 are comprised of Government personnel. Relocation travel will continue in the Government Relocation and Accounting System (GRAS), a newly named non-major investment for BY2009. GRAS will be used to file relocation authorizations, vouchers and store archived travel documents.

9. Did the Agency's Executive/Investment Committee approve this request?
yes

9.a. If "yes," what was the date of this approval?
2007-08-16

10. Did the Project Manager review this Exhibit?
yes

11. Project Manager Name:
Young, Carol

Project Manager Phone:
704/548-4336

Project Manager Email:
carol.c.young@irs.gov

11.a. What is the current FAC-P/PM certification level of the project/program manager?
TBD

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
yes

12.a. Will this investment include electronic assets (including computers)?
yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no

13. Does this investment directly support one of the PMA initiatives?
yes
If yes, select the initiatives that apply:
Expanded E-Government
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
In compliance with the PMA's eGov initiative of expanded E-government, this investment will transition to GovTrip, an Agency-wide initiative that supports E-gov through improved access and reduced burden by use of the Internet and E-business technologies. In Feb 2007, a TRAS FTE was designated as the MITS eTravel POC to assist in the planning and migration process. An interdisplinary team is working to identify mission functionality associated costs and transition impacts.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
no
15. Is this investment for information technology?
yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?
yes
19. Is this a financial management system?
yes
19.a. If yes, does this investment address a FFMIA compliance area?
yes
19.a.1. If yes, which compliance area:
Compliance with Federal financial management system requirements
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.
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21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
n/a
22. Contact information of individual responsible for privacy related questions.
Name
Carlos Moura
Phone Number
202- 927-0730
Title
Management and Program Analyst
Email
carlos.moura@irs.gov
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
yes
24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	0.259	0.159	0.070
Government FTE Cost	1.262	1.269	1.280
# of FTEs	10	10	10

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

The changes to the Summary of Spending reflect a realignment of funding due to the FY2008 OMB Passback reductions and the eGov initiative to move functionality from TRAS to GovTrip. Reductions were taken effecting the FY2007, FY2008 and FY2009 funding. FY2007 was reduced from $1.521M to $1.428M, FY2008 was reduced from $1.764M to $1.473M, and FY2009 was reduced from $1.794M to $0.00 due to the migration of GovTrip in FY2008.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Funds Control	Monthly comparison of open Authorizations of funds in TRAS and IFS to ensure both	100% accuracy	100%	100% to date for FY2007

					systems are in sync			
2	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Satisfaction	Maintain the current accuracy level of data flowing between TRAS and the accounting system during the migration from TRAS to the government-wide ETravel system	100% accuracy of data exchanged	100% accuracy of the TRAS to IRS interfaces. Annually review all help desk calls to quantify interface related problems	100% to date for FY2007
3	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Monitor control of the number of open Authorizations with no vouchers for activated/inactivated employees via DeObligation Utility	100% accuracy of monitoring open authorizations	100 %	100% to date for FY2007
4	2007	Manage the U.S. Government's Finances Effectively	Technology	Overall Costs	Maintain the number of TRAS vouchers processed per BOD	100% accuracy of reporting vouchers processed by BOD units	100% reporting	100% to date for FY2007
5	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	Maintain the number of IRS non-relocation vouchers filed through TRAS annually. CFO office provides monthly statistics on all types of IRS travel vouchers.	97.6% of IRS vouchers are filed through TRAS.	98% accuracy	98% of IRS non-relocation vouchers were filed thru TRAS to date in FY2007
6	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Funds Control	Maintain the number of IRS non-relocation vouchers filed through TRAS annually. CFO office provides monthly statistics on all types of IRS travel vouchers.	97.6% of IRS vouchers are filed through TRAS.	98% accuracy	
7	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Satisfaction	Maintain the current accuracy level of data flowing between TRAS and the accounting system during the migration from TRAS to the government-wide ETravel system	100% accuracy of data exchanged	100% accuracy of the TRAS to IRS interfaces. Annually review all help desk calls to quantify interface related problems	
8	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Monitor control of the number of open Authorizations with no vouchers for activated/inactivated employees via DeObligation Utility	100% accuracy of monitoring open authorizations	100%	
9	2008	Manage the U.S.	Technology	Overall Costs	Maintain the number of TRAS	100% accuracy of	100% reporting	

		Government's Finances Effectively			vouchers processed per BOD	reporting vouchers processed by BOD units		
10	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Accounting	Monthly comparison of open Authorizations of funds in TRAS and IFS to ensure both systems are in sync	100% accuracy	100%	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

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3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

no

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Expense Management	IRS Travel provides reports supporting travel account management through expenditure tracking against travel budgets	Financial Management	Expense Management			No Reuse	25
2	Payment/Settlement	Travel payment and settlement	Financial Management	Payment / Settlement			No Reuse	25

#		Description						
		provided by IRS Travel in compliance with the Prompt Payment Act						
3	Auditing	The IRS Travel system provides Travel Voucher data capture and validation, travel audit capabilites and complete data management	Financial Management	Auditing			No Reuse	25
4	Internal Controls	The IRS Travel system supplies role-based security management, travel workflow and travel account management	Development and Integration	NEW			No Reuse	25

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Auditing	Component Framework	Business Logic	Platform Independent	IRS Coded, TRAS V11.4
2	Expense Management	Component Framework	Business Logic	Platform Independent	IRS Coded, TRAS V11.4
3	Auditing	Component Framework	Data Management	Database Connectivity	Oracle JDBC, V10.1.0.2.0
4	Expense Management	Component Framework	Data Management	Database Connectivity	Oracle JDBC, V10.1.0.2.0
5	Internal Controls	Component Framework	Data Management	Database Connectivity	Oracle JDBC, V10.1.0.2.0
6	Auditing	Component Framework	Presentation / Interface	Content Rendering	IRS Coded, TRAS V11.4
7	Expense Management	Component Framework	Presentation / Interface	Content Rendering	IRS Coded, TRAS V11.4
8	Auditing	Component Framework	Presentation / Interface	Dynamic Server-Side Display	IRS Coded, TRAS V11.4
9	Expense Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	IRS Coded, TRAS V11.4
10	Auditing	Component Framework	Presentation / Interface	Static Display	IRS Coded, TRAS V11.4

11	Expense Management	Component Framework	Presentation / Interface	Static Display	IRS Coded, TRAS V11.4
12	Auditing	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer, V6.0.2900.2180
13	Expense Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer, V6.0.2900.2180
14	Auditing	Service Access and Delivery	Service Requirements	Legislative / Compliance	IRS Coded, TRAS V11.4
15	Payment / Settlement	Service Interface and Integration	Interoperability	Data Format / Classification	IRS Coded, TRAS V11.4
16	Auditing	Service Platform and Infrastructure	Database / Storage	Database	Oracle Database Management System, V9.2.0.8
17	Internal Controls	Service Platform and Infrastructure	Database / Storage	Database	Oracle Database Management System, V9.2.0.8
18	Expense Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle Database Management System, V9.2.0.8

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2006-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

The TRAS project is at the end of it's life cycle and carries little risk with no funds requested for BY2009. For the duration of the project, Risk Management, which is incorporated into the life cycle investment schedule and cost estimate, will be managed through various avenues, including weekly risk reviews during team project meetings. Delivery dates/deadlines are closely monitored to control time-dependent risks. As an action item, time and dedicated resources are included in the Project Plan to deal with the processes of identifying, quantifying, responding to and controlling risks via scheduled checkpoints for scope and cost considerations.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

no

2. Is the CV% or SV% greater than ± 10%?

yes

2.a. If yes, was it the?

SV

2.b. If yes, explain the variance.

The variance is due to the staffing shortage.

2.c. If yes, what corrective actions are being taken?	
3 vacancies were announced - 1 vacancy has been filled and 2 positions have been accepted correcting the staffing issue	
3. Has the investment re-baselined during the past fiscal year?	
yes	
3.a. If yes, when was it approved by the agency head?	
2007-09-12	